



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Examiner: Hamud, Fozia M.
	)	
Avi J. ASHKENAZI, et al.	)	Art Unit: 1647
	)	
Application Serial No. 09/997,514	)	Confirmation No: 3254
	)	
Filed: November 15, 2001	)	Attorney's Docket No. 39780-2730P1C46
	)	
For: PRO1387 POLYPEPTIDES	)	Customer No. 35489
	)	
	)	
	)	

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DATE MAILED: DECEMBER 30, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

**MAIL STOP: AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each publication other than U.S. patents and U.S. patent application publications, and each cited pending unpublished U.S. application with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

☐ a certification as specified in §1.97(e) is provided below; **or**

☒ a fee of **\$180.00** as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; and

B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**

C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of **\$180.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2730P1C46).**

Respectfully submitted,

Dated: December 30, 2004

By:   
Anna L. Barry (Reg. No. 51,436)

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<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>			<b>ATTY. DOCKET NO.:</b> 39780-2730P1C46			<b>SERIAL NO.:</b> 09/997,514	
			<b>APPLICANT :</b> Avi J. ASHKENAZI, et al.				
			<b>FILING DATE:</b> November 15, 2001			<b>GROUP:</b> 1647	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	Dayhoff, Accession No.: P_AAB65287, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, A.J., et al.						
	Dayhoff, Accession No.: P_AAU12431, WO 200140466-A2, Pub Date: June 7, 2001, Baker, K. P., et al.						
	Dayhoff, Accession No.: AAM40646, WO 200153312-A1, Pub Date: July 26, 2001, Tang, Y. T. et al.						
	Dayhoff, Accession No.: P_AAM38860, WO 200153312-A1, Pub Date: July 26, 2001, Tang, Y. T., et al.						
	Dayhoff, Accession No.: P_AAY66764, WO 9963088-A2, Pub Date: December 9, 1999, Baker, K., et al.						
	Dayhoff, Accession No.: P_AAY94452, WO 200029574-A2, Pub Date: May 25, 2000, Walker, M. G., et al.						
	Dayhoff, Accession No.: P_AAB24433, WO 200032221-A2, Pub Date: June 8, 2000, Ashkenazi, A. J., et al.						
	Dayhoff, Accession No.: P_AAB33454, WO 200053758-A2, Pub Date: September 14, 2000, Ashkenazi, A. J., et al.						
	Dayhoff, Accession No.: P_AAY73371, WO 9957144-A2, Pub Date: November 11, 1999, Hillman, J. L., et al.						
	GenBank, Accession No.: P_AAF44256, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, Avi J., et al.						
	GenBank, Accession No.: P_AAS21503, WO 200140466-A2, Pub Date: June 7, 2001, Baker, K., et al.						
	GenBank, Accession No.: P_AAZ65110, WO 9963088-A2, Pub Date: December 9, 1999, Baker, K., et al.						
	GenBank, Accession No.: P_AAA77683, WO 200032221-A2, Pub Date: June 8, 2000, Ashkenazi, A. J., et al.						
	GenBank, Accession No.: P_AAC58619, WO200053758-A2, Pub Date: September 14, 2000, Ashkenazi, A. J., et al.						
	GenBank, Accession No.: P_AAA27133, WO 200029574-A2, Pub Date: May 25, 2000, Walker, M. G., et al.						
	GenBank, Accession No.: P_AAI59802, WO 200153312-A1, Pub Date: July 26, 2001, Tang, Y. T., et al.						
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	<b>APPLICANT : Avi J. ASHKENAZI, et al.</b>	
	<b>FILING DATE: November 15, 2001</b>	<b>GROUP: 1647</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>		
	GenBank, Accession No.: P_AAZ52456, WO 9957144-A2, Pub Date: November 11, 1999, Hillman, J. L., et al.	
	GenBank, Accession No.: P_AAI58016, WO 200153312-A1, Pub Date: July 26, 2001, Tang, Y. T., et al.	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>

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